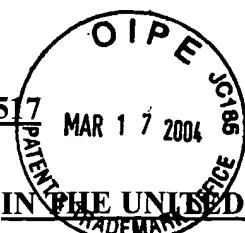


Image

S/N 09/706517



2831

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Michael J. O'Phelan

Examiner: Nguyen Ha

Serial No.: 09/706,517

Group Art Unit: 2831

Filed: November 03, 2000

Docket: 279.235US1

Title: FLAT CAPACITOR HAVING AN ACTIVE CASE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. § 1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

03/18/2004 JBALIHAN 00000126 09706517

01 FC:1806

180.00 0P

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MICHAEL J. O'PHELAN

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 359-3267

Date 3/15/04

By Peter C. Maki

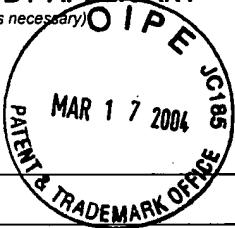
Peter C. Maki  
Reg. No. 42,832

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 15 day of March, 2004.

Dawn Sucky  
Name

Dawn Sucky  
Signature

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; padding: 2px;"><b>Application Number</b></td> <td colspan="4" style="padding: 2px;">09/706517</td> </tr> <tr> <td style="width: 30%; padding: 2px;"><b>Filing Date</b></td> <td colspan="4" style="padding: 2px;">November 3, 2000</td> </tr> <tr> <td style="width: 30%; padding: 2px;"><b>First Named Inventor</b></td> <td colspan="4" style="padding: 2px;">O'Phelan, Michael</td> </tr> <tr> <td style="width: 30%; padding: 2px;"><b>Group Art Unit</b></td> <td colspan="4" style="padding: 2px;">2831</td> </tr> <tr> <td style="width: 30%; padding: 2px;"><b>Examiner Name</b></td> <td colspan="4" style="padding: 2px;">Ha, Nguyen</td> </tr> </table>					<b>Application Number</b>	09/706517				<b>Filing Date</b>	November 3, 2000				<b>First Named Inventor</b>	O'Phelan, Michael				<b>Group Art Unit</b>	2831				<b>Examiner Name</b>	Ha, Nguyen			
<b>Application Number</b>	09/706517																														
<b>Filing Date</b>	November 3, 2000																														
<b>First Named Inventor</b>	O'Phelan, Michael																														
<b>Group Art Unit</b>	2831																														
<b>Examiner Name</b>	Ha, Nguyen																														
Sheet 1 of 2		Attorney Docket No: 279.235US1																													



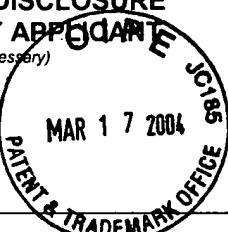
US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2003/0077509A1	04/24/2003	Probst, Joseph M., et al.	426	162	10/16/2002
	US-3,993,508	11/23/1976	Erlichman, Irving	29	623.2	06/20/1975
	US-4,169,003	09/25/1979	Dangel, Phoenix N., et al.	156	179	11/17/1977
	US-4,232,099	11/04/1980	Sullivan, Charles I.	429	152	02/06/1976
	US-4,425,412	01/10/1984	Dittmann, John F., et al.	429	53	05/26/1982
	US-4,553,304	11/19/1985	Fleuret, D.	29	25.42	05/31/1983
	US-4,659,636	04/21/1987	Suzuki, Yoshie , et al.	429	54	11/22/1984
	US-4,683,516	07/28/1987	Miller, J. R.	361	328	08/08/1986
	US-4,745,039	05/17/1988	Yoshinaka, Minoru	429	54	11/17/1986
	US-5,173,375	12/22/1992	Cretzmeyer, John W., et al.	429	72	12/14/1990
	US-5,175,067	12/29/1992	Taylor, William J., et al.	429	181	11/09/1990
	US-5,306,581	04/26/1994	Taylor, William J., et al.	429	181	04/08/1992
	US-5,422,200	06/06/1995	Hope, Stephen F., et al.	429	157	07/27/1994
	US-5,554,178	09/10/1996	Dahl, R W., et al.	607	122	04/26/1995
	US-5,640,756	06/24/1997	Brown, R. L., et al.	29	701	02/08/1995
	US-5,691,079	11/25/1997	Daugaard, Jorgen	429	152	07/07/1995
	US-5,774,261	06/30/1998	Omori, Shigeru , et al.	359	464	09/23/1994
	US-5,776,632	07/07/1998	Honegger, Allen	429	185	10/03/1996
	US-5,779,699	07/14/1998	Lipson, David	606	41	03/29/1996
	US-5,811,206	09/22/1998	Sunderland, Walter C., et al.	429	181	10/31/1997
	US-5,882,362	03/16/1999	Muffoletto, Barry C., et al.	29	623.1	04/27/1998
	US-5,973,906	10/26/1999	Stevenson, Robert A., et al.	361	302	03/17/1998
	US-6,002,969	12/14/1999	Machek, , et al.	607	122	08/05/1998
	US-6,004,692	12/21/1999	Muffoletto, Barry C., et al.	429	163	04/27/1998
	US-6,030,480	02/29/2000	Face, Jr., S. A., et al.	156	160	07/21/1998
	US-6,040,082	03/21/2000	Haas, D. P., et al.	429	163	07/30/1997
	US-6,052,625	04/18/2000	Marshall, Mark T.	607	122	11/09/1998

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Complete if Known				
		<b>Application Number</b>	09/706517			
		<b>Filing Date</b>	November 3, 2000			
		<b>First Named Inventor</b>	O'Phelan, Michael			
		<b>Group Art Unit</b>	2831			
		<b>Examiner Name</b>	Ha, Nguyen			
Sheet 2 of 2		Attorney Docket No: 279.235US1				

	US-6,104,961	08/15/2000	Conger, Steven , et al.	607	122	02/18/1999
	US-6,110,321	08/29/2000	Day, J. , et al.	156	286	02/28/1997
	US-6,117,194	09/12/2000	Strange, T. et al.	29	25.03	01/12/1999
	US-6,139,986	10/31/2000	Kurokawa, Teruhisa , et al.	429	61	06/16/1998
	US-6,162,264	12/19/2000	Miyazaki, Yuichi , et al.	29	623.5	06/16/1997
	US-6,225,778	05/01/2001	Hayama, Hideki , et al.	320	112	07/18/2000
	US-6,249,709	06/19/2001	Conger, Steven , et al.	607	122	02/18/1999
	US-6,256,542	07/03/2001	Marshall, Mark T., et al.	607	126	02/22/2000
	US-6,259,954	07/10/2001	Conger, Steve , et al.	607	122	02/18/1999
	US-6,275,729	08/14/2001	O'Phelan, M. J., et al.	607	5	10/02/1998
	US-6,326,587	12/04/2001	Cardineau, Yan , et al.	219	121.68	07/30/1999
	US-6,404,619	06/11/2002	Marshall, Timothy R., et al.	361	526	12/09/1999
	US-6,413,283	07/01/2002	Day, , et al.	29	25.3	
	US-6,493,212	12/10/2002	Clarke, Michael E., et al.	361	521	06/30/2000
	US-6,509,588	01/21/2003	O'Phelan, Michael J., et al.	257	209	01/01/2003
	US-6,522,525	02/18/2003	O'Phelan, Michael J., et al.	361	509	11/03/2000
	US-6,571,126	05/27/2003	O'Phelan, Michael J., et al.	607	5	11/03/2000
	US-2001/0020319	09/13/2001	Farahmandi, C. J., et al.	29	25.03	02/13/2001

#### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
	WO-00/19470	04/06/2000	O'Phelan, M. , et al.	H01 G	9/055	
	WO-98/27562	06/25/1998	Benz, Michael E., et al.	H01 G	4/18	

#### OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

#### EXAMINER

#### DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached